2-16-05

Tru

| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) Docket No. 57294-021 | | | | | | |
|---|--|---|---|--|--|--|
| In Re Application: | 991 | | | | | |
| Roger Cady | | | | | | |
| Serial No. Filing Date Examiner Group Art Unit | | | | | | |
| 10/808,008 | 03/24/2004 | Kam, Chih Min | 1653 | | | |
| | Title: METHOD AND ARTICLE FOR TREATMENT OF SENSORY NEURON RELATED DISORDERS THROUGH THE APPLICATION OF BOTULINUM TOXIN | | | | | |
| | Paymen | t of Fee | | | | |
| , (Only | complete if Applicant elects to r | pay the fee set forth in 37 CFR 1.1 | 7(p)) | | | |
| A check in the amount of \$ is attached. ☑ The Commissioner is hereby authorized to charge and credit Deposit Account No. 08-3460 as described below. A duplicate copy of this sheet is enclosed. ☐ Charge the amount of \$ ☑ Credit any overpayment. ☑ Charge any additional fee required. | | | | | | |
| | O 63105 | Certificate of Mailin I certify that this document an February 15, 2005 Service as Express Mail ur addressed to Mail Stop, Communication Box 1450, Alexandria, VA 221 Express Mail No.: EV331017052 Signature of Person Mail Karen K. S | with the U.S. Postal nder 37 C.F.R. 1.10 and missioner for Patents, P.O. 131-1450 2US 2US | | | |
| Customer No: 029493 | | Typed or Printed Name of Po | erson Mailing Certificate | | | |

| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(c) | | | Docket No. 57294-021 | | |
|--|---|---------------------------|-------------------------|--|--|
| In Re Application Of: | | | | | |
| Roger Cady | | | | | |
| Serial No. | Filing Date | Examiner | Group Art Unit | | |
| 10/808,008 | 03/24/2004 | Kam, Chih Min | 1653 | | |
| Title: | | | | | |
| METHOD AND ARTICLE FOR APPLICATION OF BOTULINUI | | NEURON RELATED DISORE | DERS THROUGH THE | | |
| (), (E) | Add | lress to: | | | |
| Emma H | MAI | L STOP ner for Patents | | | |
| FEB 1 5 2005 H | P.O. E | Box 1450 | | | |
| A CONTRACTOR OF THE PARTY OF TH | Alexandria, | VA 22131-1450 | | | |
| | 37 CF | R 1.97(c) | | | |
| the attention of the Examiner for consideration in connection with the examination of the above- identified patent application. Copies of the references are enclosed as necessary. This Information Disclosure Statement is filed on or before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: | | | | | |
| | ★ The statement specified in 37 CFR 1.97(e); OR OR OR OR OR OR OR OR OR O | | | | |
| the fee set forth in 37 CFR 1.17(p). | | | | | |
| Statement Under 37 CFR § 1.97(e)(1) | | | | | |
| Each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. A copy of the foreign search report is enclosed. | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

 Complete if Known

 Application Number
 10/808,008

 Filing Date
 03/24/2004

 First Named Inventor
 Roger Cady

 Art Unit
 1653

 Examiner Name
 Kam. Chih Min

 Attorney Docket Number
 57294.021

(Use as many sheets as necessary)

Substitute for form 1449/PTO

Sheet 1

U. S. PATENT DOCUMENTS Examiner Cite Document Number **Publication Date** Name of Patentee or Pages Columns Lines Where MM-DD-YYYY Applicant of Cited Document Relevant Passages or Relevant Number-Kind Code² (If known) Figures Appear ^{US-} 5,714,468 02/03/1998 Binder ^{US-}6,113,915 09/05/2000 Aoki et al. us-2002/0102275 08/01/2002 Graham US-2004/0009180 01/15/2004 Donovan US-US-US-ับร-US-US-US-US-US-US-IIS-US-US-US-US-

| | | | IGN PATENT DOCU | | , | _ |
|-----------------------|--------------|---|------------------|--|---|----------|
| Examiner Initials* | Cite No.1 | Foreign Patent Document | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages | |
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | MM-DD-YYYY | | Or Relevant Figures Appear | <u> </u> |
| | | DE019852981A1 | 05/18/2000 | Martin Schmidt | | ~ |
| | | | | | | |
| | | | | | | L |
| | | | | | | ┡ |
| | | | | | | L |
| | | | | | | |

| Examiner | Date | |
|-----------|---------|----|
| Signature | Conside | ed |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08B (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

| Substitute for form 1449/PTO | | Complete if Known | | |
|-----------------------------------|--------------|------------------------|---------------|--|
| | | Application Number | 10/808,008 | |
| INFORMATION D | DISCLOSURE | Filing Date | 03/24/2004 | |
| STATEMENT BY | APPLICANT | First Named Inventor | Roger Cady | |
| (lise as many shoots | as nocassand | Art Unit | 1653 | |
| (Use as many sheets as necessary) | | Examiner Name | Kam, Chih Min | |
| Sheet 2 | of 2 | Attorney Docket Number | 57294-021 | |

| NON PATENT LITERATURE DOCUMENTS | | | | |
|---------------------------------|--------------------------|--|----------------|--|
| Examiner (| Cite | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of | 1 | |
| Initials* | Cite No. ¹ | the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² | |
| | | International Search Report | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | - | |
| Eversines I | | | | |

| Examiner Date Considered | | | |
|--------------------------|-----------|-------|------------|
| | Examiner | er Da | Date |
| Signature | Signature | re Co | Considered |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation is not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.